

**U.S. SERIAL NUMBER NOTIFICATION**

Application Branch: Please stamp serial number assigned to this case and return card to sender.

Date Mailed: July 10, 1998

Family No.: 984004

Type of Application: Original

Applicant(s): Agapion, et. al.

Title: A Catalyst Composition And Methods For Its Preparation And...

The USPTO acknowledges receipt of, and has stamped hereon the date of receipt of, the items indicated below.

47 Pages of Specification

16 Pages of Claims (no. of claims 120)

0 Sheets of Drawing/Figures

☒ Declaration

☒ Assignment and Recordation Cover Sheet

☒ IDS-Form 1499 (with 2 references)

☐ Preliminary Amendment

☒ Other: 1 pg Abstract

☐ Other: \_\_\_\_\_

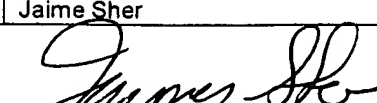
Attorney J. Sher

Job Number 813521



<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(only for new nonprovisional applications under 37 CFR § 1.53(b))</small>		Attorney Docket No. <b>98U004</b>	
		First Inventor or Application Number <b>Agapios K. Agapiou</b>	
		Title <b>A Catalyst Composition And Methods For Its Preparation And Use In A Polymerization Process</b>	
		Express Mail Label No. <b>EM354493275US</b>	

<b>APPLICATION ELEMENTS</b>	<b>ADDRESS TO:</b> <b>Assistant Commissioner for Patents</b> <b>Box Patent Application</b> <b>Washington, D.C. 20231</b>		
<p>1. <input checked="" type="checkbox"/> <b>Fee Transmittal Form</b> (e.g., PTO/SB/17)  <small>(Submit an original, and a duplicate for fee processing)</small></p> <p>2. <input checked="" type="checkbox"/> <b>Specification</b> <span style="float: right;">Total Pages <span style="border: 1px solid black; padding: 2px 10px;">64</span></span>  <small>(preferred arrangement set forth below)</small>          - Descriptive title of the Invention          - Cross References to Related Applications (if any)          - Background of the Invention          - Brief Summary of the Invention          - Brief Description of the Drawings (if filed)          - Detailed Description          - Claim(s)          - Abstract of the Disclosure</p> <p>3. <input type="checkbox"/> <b>Drawing(s)</b> (35 U.S.C. 113) <span style="float: right;">Total Sheets <span style="border: 1px solid black; padding: 2px 10px;"></span></span></p> <p>4. <input checked="" type="checkbox"/> <b>Oath or Declaration</b> <span style="float: right;">Total Pages <span style="border: 1px solid black; padding: 2px 10px;">2</span></span></p> <p style="margin-left: 20px;">a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> Copy from a prior application (37 C. F.R. § 1.63(d))  <small>(for continuation/division with Box 15 completed)</small>  <small>[Note Box 5 below]</small></p> <p style="margin-left: 20px;">i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b>  <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</small></p>	<p>5. <input type="checkbox"/> <b>Incorporation By Reference</b> (useable if Box 4B is checked)  <small>The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</small></p> <p>6. <input type="checkbox"/> <b>Microfiche Computer Program</b> (Appendix)</p> <p style="text-align: center;"><b>ACCOMPANYING APPLICATION PARTS</b></p> <p>7. <input checked="" type="checkbox"/> <b>Assignment Papers</b> (cover sheet &amp; document(s))</p> <p>8. <input type="checkbox"/> <b>37 C.F.R. § 3.73(b) Statement</b> <input type="checkbox"/> <b>Power of Attorney</b>  <small>(when there is an assignee)</small></p> <p>9. <input type="checkbox"/> <b>English Translation Document</b> (if applicable)</p> <p>10. <input checked="" type="checkbox"/> <b>Information Disclosure Statement (IDS)/PTO-1449</b>  <input checked="" type="checkbox"/> <b>Copies of IDS Citations</b></p> <p>11. <input type="checkbox"/> <b>Preliminary Amendment</b></p> <p>12. <input checked="" type="checkbox"/> <b>Return Receipt Postcard</b> (MPEP 503)  <small>(Should be specifically itemized)</small></p> <p>13. <input type="checkbox"/> <b>Certified Copy of Priority Document(s)</b>  <small>(if foreign priority is claimed)</small></p> <p>14. <input type="checkbox"/> <b>Other:</b></p>		
<p>15. If a <b>CONTINUING APPLICATION</b>, check appropriate box, and supply the requisite information below and in a preliminary amendment.</p> <p style="text-align: center;"> <input type="checkbox"/> Continuation         <input type="checkbox"/> Divisional         <input type="checkbox"/> Continuation-in-part (CIP)       </p> <p style="margin-left: 40px;">of prior applications No: _____, from which priority is claimed under 35 U.S.C. § 120</p> <p>Prior application information: Examiner _____ Group Art Unit _____</p>			
<p>16. If a <b>NONPROVISIONAL APPLICATION</b> based upon a foreign or U.S. provisional application, priority is claimed from applications No. _____, country _____, filed on _____.</p>			
<b>17. CORRESPONDENCE ADDRESS</b>			
<input type="checkbox"/> Customer Number or Bar Code Label <span style="float: right;">or <input checked="" type="checkbox"/> Correspondence address below</span>			
<small>(Insert Customer No. or Attach bar code label here)</small>			
Name	Univation Technologies L.L.C.		
Address	5555 San Felipe, Suite 1950		
City	Houston	State	Texas
		Zip Code	77056
Country	U.S.A.	Telephone	(713) 892-3668
		Fax	(713) 892-3699
Name (print/type)	Jaime Sher		Registration No. (Attorney/Agent)
			34,726
Signature			Date
			July 10, 1998

# FEE TRANSMITTAL

Note: Effective October 1, 1997,  
Patent fees are su

EM354493275US

Complete if Known

Application Number	Not Assigned
Filing Date	July 10, 1998
First Named Inventor	Agapios K. Agapiou
Group Art Unit	Not Assigned
Examiner Name	Not Assigned
Attorney Docket Number	98U004

Total Amount of Payment (\$ ) 3,646.00

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:
- Deposit Account Number: 50-0589
- Deposit Account Name: Univation Technologies, LLC
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

## FEE CALCULATION

### 1. FILING FEE

Large Entity Fee Code	Fee Description	Fee Paid
101	Utility filing fee	790.00
106	Design filing fee	
107	Plant filing fee	
108	Reissue filing fee	
114	Provisional filing fee	
SUBTOTAL (1)		790.00

### 2. CLAIMS

	Extra	Fee from below	Fee Paid
Total Claims	120 - 20 = 100	x 22.00 =	2200.00
Independent Claims	11 - 3 = 8	x 82.00 =	656.00
Multiple Dependent Claims	0	x 270.00 =	

Large Entity Fee Code	Fee Description	Fee Paid
103	Claims in excess of 20	
102	Independent claims in excess of 3	
104	Multiple dependent claim	
109	Reissue independent claims over original patent	
110	Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)		3646.00

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Fee Code	Large Entity Fee \$	Fee Description	FEES PAID
105	130	Surcharge - late filing fee or oath	
127	50	Surcharge - late provisional filing or cover sheet.	
139	130	Non-English specification	
147	2,520	For filing a request for reexamination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	400	Extension for reply within second month	
117	950	Extension for reply within third month	
118	1,510	Extension for reply within fourth month	
128	2,060	Extension for reply within fifth month	
119	310	Notice of Appeal	
120	310	Filing a brief in support of an appeal	
121	270	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive - unavoidable	
141	1,320	Petition to review - unintentional	
142	1,320	Utility issue fee (or reissue)	
143	450	Design issue fee	
144	670	Plant issue fee	
122	130	Petition to the Commissioner	
123	50	Petitions related to provisional applications	
126	240	Submission of Information Disclosure Stmt.	
581	40	Recording each patent assignment per: property (times number of properties)	40.00
146	790	Filing a submission after final rejection (37 CFR 1.129(a))	
149	790	For each additional invention to be examiner (37 CFR 1.129(b))	
Other fee (specify)			
Other fee (specify)			
* Reduced by Basic Filing Fee Paid			
SUBTOTAL (3)			\$ 40.00

Typed or Printed Name

Jaime Sher

Reg. No.

34,726

Signature

*Jaime Sher*

Date

July 10, 1998

Deposit Account User ID